# IAP15 Rec'd PCT/PTO 16 AUG 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371                           |                                                                                                                                 | ATTORNEY'S DOCKET NUMBER<br>1556.0430000/SRL/KPQ           |  |  |  |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|--|--|--|--|
|                                                                                                                                                    |                                                                                                                                 | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/553,706 |  |  |  |  |
| PCT/CA2004/000576                                                                                                                                  | INTERNATIONAL FILING DATE<br>19 April 2004                                                                                      | PRIORITY DATE CLAIMED<br>18 April 2003                     |  |  |  |  |
| TITLE OF INVENTION Polynucleotides for the Detection of Salmonella Species                                                                         |                                                                                                                                 |                                                            |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US Daniel Plante, et al.                                                                                                    |                                                                                                                                 |                                                            |  |  |  |  |
| Applicant herewith submits to the United Sta                                                                                                       | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |                                                            |  |  |  |  |
| 1. This is a FIRST submission of items co                                                                                                          | ncerning a submission under 35 U.S.C. 371                                                                                       |                                                            |  |  |  |  |
| 2. X This is a SECOND or SUBSEQUENT s                                                                                                              | submission of items concerning a submission                                                                                     | n under 35 U.S.C. 371.                                     |  |  |  |  |
| 3. X This is an express request to begin nati (5), (6), (9) and (21) indicated below.                                                              | onal examination procedures (35 U.S.C. 37                                                                                       | 1(f)). The submission must include items                   |  |  |  |  |
| 4. The US has been elected (Article 31).                                                                                                           |                                                                                                                                 |                                                            |  |  |  |  |
| 5. A copy of the International Application                                                                                                         | n as filed (35 U.S.C. 371(c)(2))                                                                                                |                                                            |  |  |  |  |
| a. Li is attached hereto (required                                                                                                                 | I only if not communicated by the Internation                                                                                   | nal Bureau).                                               |  |  |  |  |
| b. has been communicated by                                                                                                                        | the International Bureau.                                                                                                       |                                                            |  |  |  |  |
| c. is not required, as the appli                                                                                                                   | cation was filed in the United States Receivi                                                                                   | ng Office (RO/US).                                         |  |  |  |  |
| 6. An English language translation of the                                                                                                          | e International Application as filed (35 U.S.C                                                                                  | C. 371(c)(2)).                                             |  |  |  |  |
| a. is attached hereto.                                                                                                                             | a. Lis attached hereto.                                                                                                         |                                                            |  |  |  |  |
| b. has been previously submit                                                                                                                      | b. has been previously submitted under 35 U.S.C. 154(d)(4).                                                                     |                                                            |  |  |  |  |
| 7. Amendments to the claims of the Inte                                                                                                            | rnational Application under PCT Article 19 (                                                                                    | 35 U.S.C. 371(c)(3))                                       |  |  |  |  |
| a. are attached hereto (requi                                                                                                                      | red only if not communicated by the Internal                                                                                    | tional Bureau).                                            |  |  |  |  |
| b. have been communicated                                                                                                                          | b. have been communicated by the International Bureau.                                                                          |                                                            |  |  |  |  |
| c. have not been made; how                                                                                                                         | ever, the time limit for making such amendn                                                                                     | nents has NOT expired.                                     |  |  |  |  |
| d. have not been made and v                                                                                                                        | d. have not been made and will not be made.                                                                                     |                                                            |  |  |  |  |
| 8. An English language translation of the                                                                                                          | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).                     |                                                            |  |  |  |  |
| 9. X An oath or declaration of the inventor                                                                                                        | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).                                                                |                                                            |  |  |  |  |
| 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). |                                                                                                                                 |                                                            |  |  |  |  |
| Items 11 to 20 below concern document(s) or information included:                                                                                  |                                                                                                                                 |                                                            |  |  |  |  |
| 11. An Information Disclosure Statement                                                                                                            | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.                                                                 |                                                            |  |  |  |  |
| 12. An assignment document for recording                                                                                                           | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.               |                                                            |  |  |  |  |
| 13. X A preliminary amendment.                                                                                                                     | A preliminary amendment.                                                                                                        |                                                            |  |  |  |  |
| 14. An Application Data Sheet under 37                                                                                                             | An Application Data Sheet under 37 CFR 1.76.                                                                                    |                                                            |  |  |  |  |
| 15. A substitute specification.                                                                                                                    | A substitute specification.                                                                                                     |                                                            |  |  |  |  |
| 16. X A power of attorney and/or change of                                                                                                         | A power of attorney and/or change of address letter.                                                                            |                                                            |  |  |  |  |
| 17. $X$ A computer-readable form of the seq                                                                                                        | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.                   |                                                            |  |  |  |  |
| 18. A second copy of the published Intere                                                                                                          | A second copy of the published International Application under 35 U.S.C. 154(d)(4).                                             |                                                            |  |  |  |  |
| 19. A second copy of the English language                                                                                                          | ge translation of the international application                                                                                 | under 35 U.S.C. 154(d)(4).                                 |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Page 1 of 3 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|   | U.S. APPLICAT                                                                                                                                                                                                   | 10N NO. (if known, 10/553,706                                                                                                                                                                                                                                                      | NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/CA2004/000576 |                                                 |                  | ATTORNEY'S DOCKET NUMBER 1556.0430000/SRL/KPQ |             |
|---|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|-------------------------------------------------|------------------|-----------------------------------------------|-------------|
|   | 1) Copy of N                                                                                                                                                                                                    | d/Elected Off                                                                                                                                                                                                                                                                      | Missing Re                                                                     | quirements Under 35 (<br>/US); and              | J.S.C. 371 in th | e United States                               |             |
| ŀ | The folio                                                                                                                                                                                                       | owing fees have b                                                                                                                                                                                                                                                                  | een submitted                                                                  |                                                 |                  | CALCULATIONS                                  | PTO USE ONL |
| ١ | 21. Basic                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                    |                                                                                |                                                 |                  | \$                                            |             |
| ł | 22.                                                                                                                                                                                                             | 22. Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)                                                          |                                                                                |                                                 |                  |                                               |             |
|   | by IPEA/                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                    |                                                                                |                                                 |                  |                                               |             |
|   | 23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) |                                                                                                                                                                                                                                                                                    |                                                                                |                                                 | \$               |                                               |             |
| ŀ |                                                                                                                                                                                                                 | TOTAL OF 24 22                                                                                                                                                                                                                                                                     | 2 and 23 -                                                                     |                                                 | <u> </u>         |                                               |             |
|   | Additional fee<br>sequence<br>electronic                                                                                                                                                                        | FOTAL OF 21, 22 and 23 = for specification and drawings filed in paper over 100 sheets (excluding listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an medium) (37 CFR 1.492(j)). \$250 for each additional 50 sheets of paper or fraction thereof. |                                                                                |                                                 |                  |                                               |             |
|   | Total Sheets                                                                                                                                                                                                    | Extra Sheets                                                                                                                                                                                                                                                                       |                                                                                | additional 50 or fraction up to a whole number) | RATE             |                                               |             |
|   | - 100 =                                                                                                                                                                                                         | /50 =                                                                                                                                                                                                                                                                              |                                                                                |                                                 | × \$250          | \$                                            | 1           |
|   |                                                                                                                                                                                                                 | of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declarate of commencement of the national stage (37 CFR 1.492(h)).                                                                                                                               |                                                                                | oath or declaration                             | \$               |                                               |             |
| I | CLAIMS                                                                                                                                                                                                          | NUMB                                                                                                                                                                                                                                                                               | ER FILED                                                                       | NUMBER EXTRA                                    | RATE             | \$                                            |             |
| l | Total claims                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                    | 27 - 20 =                                                                      | 7                                               | × \$50           | \$ 350.00                                     |             |
|   | Independent clair                                                                                                                                                                                               | ms                                                                                                                                                                                                                                                                                 | 6 -3=                                                                          | 3                                               | x \$200          | \$ 600.00                                     |             |
|   | MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0 + \$360                                                                                                                                                           |                                                                                                                                                                                                                                                                                    |                                                                                |                                                 | + \$360          | \$                                            |             |
|   |                                                                                                                                                                                                                 | TOTAL OF ABOVE CALCULATIONS =  X Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.                                                                                                                                                               |                                                                                |                                                 |                  |                                               |             |
|   | X Applicant cla                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                    |                                                                                |                                                 |                  |                                               |             |
|   |                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                    |                                                                                |                                                 | SUBTOTAL =       | \$ 475.00                                     |             |
|   | Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).                                                               |                                                                                                                                                                                                                                                                                    |                                                                                |                                                 | \$               |                                               |             |
| ļ | ····                                                                                                                                                                                                            | TOTAL NATIONAL FEE =                                                                                                                                                                                                                                                               |                                                                                |                                                 |                  | \$                                            |             |
|   | Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +                                        |                                                                                                                                                                                                                                                                                    |                                                                                |                                                 | \$               |                                               |             |
| 5 | XXAYPAGH 00000066 10553706 TOTAL FEES ENCLOSED =                                                                                                                                                                |                                                                                                                                                                                                                                                                                    |                                                                                | \$ 475.00                                       |                  |                                               |             |
| į | 175.00 0P<br>300.00 0P                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                    |                                                                                | Amount to be refunded:                          | \$               |                                               |             |
|   |                                                                                                                                                                                                                 | 20080                                                                                                                                                                                                                                                                              |                                                                                |                                                 |                  | Amount to be<br>charged                       | \$          |

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| а. 🔲   | A check in the amount of \$                                                                                                                                                                                                             | to cover the above fees | is enclosed.             |  |  |
|--------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|--------------------------|--|--|
| ь. 🗆   | Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed.                                                                                                                                                          | _ in the amount of \$   | to cover the above fees. |  |  |
| c. X   | c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0036. A duplicate copy of this sheet is enclosed.                                   |                         |                          |  |  |
| d. X   | fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038. |                         |                          |  |  |
|        | NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.                          |                         |                          |  |  |
| 914    | The to receive the members reprivation to per                                                                                                                                                                                           |                         |                          |  |  |
|        |                                                                                                                                                                                                                                         |                         |                          |  |  |
| SEND A | LL CORRESPONDENCE TO:                                                                                                                                                                                                                   |                         | The No Oak               |  |  |
|        |                                                                                                                                                                                                                                         |                         | SIGNATURE                |  |  |
| CUS    | STOMER NUMBER 26111                                                                                                                                                                                                                     |                         | Katrina Y. Pei Quach     |  |  |
|        |                                                                                                                                                                                                                                         |                         | NAME                     |  |  |
|        |                                                                                                                                                                                                                                         |                         | 51,063                   |  |  |
|        |                                                                                                                                                                                                                                         |                         | REGISTRATION NUMBER      |  |  |
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|        |                                                                                                                                                                                                                                         |                         |                          |  |  |
|        |                                                                                                                                                                                                                                         |                         |                          |  |  |



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO.     |
|-----------------------------|-----------------------|----------------------|
| 10/553,706                  | Daniel Plante         | 1556.0430000/SRL/TAC |

INTERNATIONAL APPLICATION NO

PCT/CA04/00576

I.A. FILING DATE

PRIORITY DATE

04/19/2004

26111 STERNE, KESSLER, GOLDSTEIN & FOX PLLC 1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005

**CONFIRMATION NO. 5826 371 FORMALITIES LETTER** \*OC000000019304522\*

Date Mailed: 06/16/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 10/18/2005
- Copy of the International Search Report filed on 10/18/2005
- Information Disclosure Statements filed on 10/18/2005
- Small Entity Statement filed on 10/18/2005
- Request for Immediate Examination filed on 10/18/2005
- U.S. Basic National Fees filed on 10/18/2005
- Priority Documents filed on 10/18/2005
- Specification filed on 10/18/2005
- Claims filed on 10/18/2005
- Abstracts filed on 10/18/2005
- Drawings filed on 10/18/2005
- Paper nucleotide sequence listings filed on 10/18/2005

JUN 2 1 2006 Sterne, Kessler, Goldstein & Fox P.L.L.C SRI KPQ

DOCKETED

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

· Additional claim fees of \$805 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

Excess Fee, Decl, sey Listing, Apply. Size Fee lue August 16, 2006 STAT BAN Nine Junuary 16, 2007

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$805 for a Small Entity:

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- Total additional claim fee(s) for this application is \$ 805
  - \$300 for 3 independent claims over 3.
  - \$325 for 13 total claims over 20.
  - \$180 for multiple dependent claim surchare.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

# A copy of this notice **MUST** be returned with the response.

## WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

## PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO.     |
|-----------------------------|-------------------------------|----------------------|
| 10/553,706                  | PCT/CA04/00576                | 1556.0430000/SRL/TAC |

FORM PCT/DO/EO/905 (371 Formalities Notice)